

Mechanisms: The Basics

A) The Correct Use of Arrows to Indicate Electron Movement

The ability to write an organic reaction mechanism properly is key to success in organic chemistry classes. Organic chemists use a technique called **arrow pushing** to depict the flow or movement of electrons during chemical reactions. Arrow pushing helps chemists keep track of the way in which electrons and their associated atoms redistribute as bonds are made and broken. The first essential rule to keep in mind is the following:

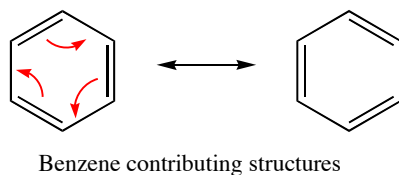
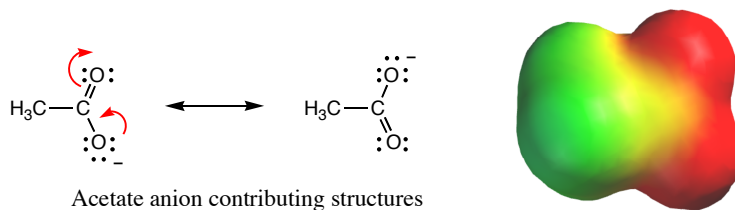
First rule: Arrows are used to indicate movement of electrons

A regular arrow (double-sided arrowhead) is used to indicate the movement of two electrons, while a line with a single-sided arrowhead (sometimes called a “fish hook arrow”) is used for single electron movement involved with radical reactions that are first described in Chapter 8.



The great majority of reactions that will be discussed in this book involve movement of pairs of electrons, so they are represented by double-sided arrowheads.

Arrow pushing was first introduced in Section 1.8A in the discussion of resonance contributing structures. Recall that when comparing two or more contributing structures, an arrow was used to show how two electrons (lines representing bonds or pairs of dots representing lone pairs) could be redistributed within a single chemical structure to create an alternative Lewis line structure representation of the bonding. By convention, arrows are used to keep track of *all* pairs of electrons that are in different locations in the two different contributing Lewis line structures, shown here for the acetate anion and benzene molecule.

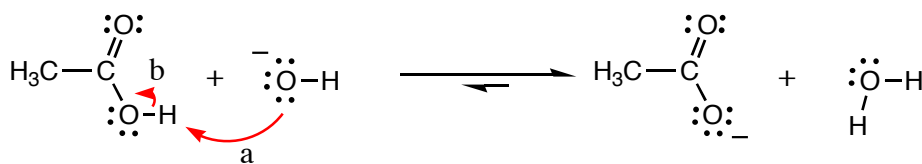


Keep in mind that in the case of resonance, 1) the atoms do not move between contributing structures, and 2) the electrons are not actually moving. The true chemical structure should be thought of as a hybrid of the contributing Lewis line structures. It is worth pointing out that when used with contributing structures, arrows generally indicate only the interconversion of π bonds and lone pairs (acetate ions) or just π bonds (benzene), not the formation or breaking of σ bonds.

In chemical reactions, both electrons and atoms change positions as both π and σ bonds are formed and broken. Arrow pushing is used to keep track of the movement of all electrons involved with each step of the overall transformation. Because electrons are located in orbitals surrounding atoms, when bonds are formed or broken, the movement of electrons between orbitals is necessarily accompanied by the movement of the associated atoms, which leads to the second rule of arrow pushing when depicting chemical reaction mechanisms:

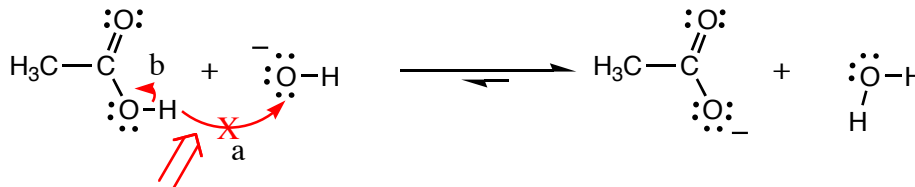
Second Rule: Arrows are never used to indicate the movement of atoms directly. The arrows only show atom movement indirectly as a consequence of electron movement when covalent bonds are made and broken.

We have already used arrow pushing to show proton transfer several times in Chapter 4. The example below shows the transfer of a proton from the relatively acidic acetic acid molecule to the relatively basic hydroxide anion. We show this process with one arrow (labeled “a” in the diagram) that starts at a lone pair of electrons on the basic oxygen atom of the hydroxide anion, then points to the acidic H atom of acetic acid to indicate formation of the new bond being made. A second arrow originates at the line representing the breaking O-H bond and points to the O atom to denote creation of a lone pair (arrow “b”). In this reaction, the proton is being transferred between molecules, and the arrows indicate movement of the electrons involved.



Correct use of arrows to indicate electron movement during reaction

A common mistake beginning students make is that they will erroneously write an arrow pointing *from* the H of the acetic acid *to* the O atom of the hydroxide anion. This is wrong, because such an arrow would be indicating the H *atom* movement directly, not *electron* movement! Other common mistakes in arrow pushing are given at the end.

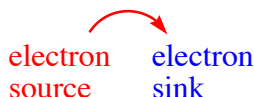


Incorrect arrow because it is pointing in the wrong direction! Never use arrows to indicate atom movement directly

B) Electron Sources and Sinks: How to *Predict* What Will Occur in an Organic Reaction Mechanism

Combined with the arrows shown for the contributing structures shown previously, we have now seen all three of the situations illustrated by arrows with double-sided arrowheads, namely the redistribution of π bonds and/or lone pairs, formation of a new σ bond (generally from a lone pair or sometimes a new π bond), and breaking of a σ bond (generally to form a new lone pair or sometimes a new π bond). Often, as in the case of the acetate-hydroxide ion reaction, more than one arrow is used in a given mechanism step. Now that you have seen all of the important types of arrows, we can point out the most important common feature between them:

Third Rule: Arrows always start at an electron source and end at an electron sink.



An **electron source** is a bond or a lone pair of electrons. It is either a π bond or a lone pair on an atom of relatively high electron density in a molecule or ion, or a bond that must break during a reaction. An **electron sink** is an atom on a molecule or ion that can accept a new bond or lone pair of electrons.

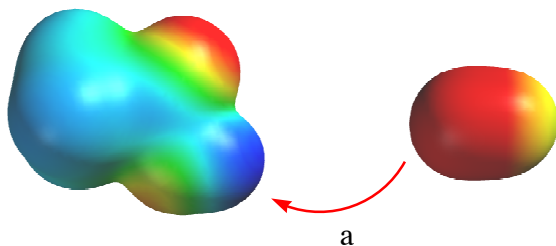
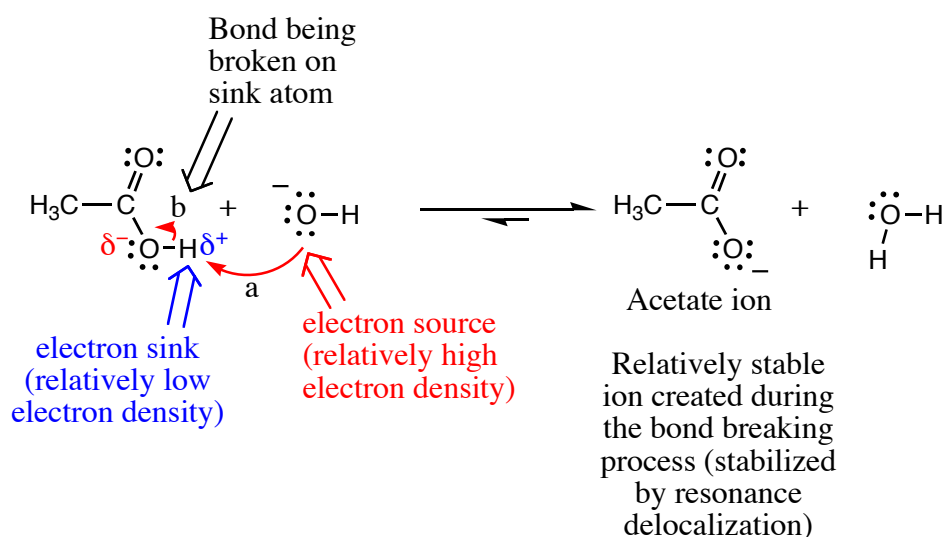
Learning to identify the characteristic sources and sinks in different functional groups is the key to learning organic chemistry reaction mechanisms. For example, for arrows that depict the formation of new σ bonds, the electron source is often readily identified as being a lone pair on the most electron rich atom of a molecule or ion, and the electron sink is readily identified as the most electron poor atom of a molecule or ion. Thus, the prediction of many of the most important electron sources and sinks comes down to lessons concerning the differences in electronegativity between atoms that were presented in Section 1.2, which allow you to identify partial and formal negative and positive charges in molecules. As an aid to your analysis, the red and blue colors of the various electrostatic surface maps given throughout this book indicate the negative and positive regions of molecules. We will have more to say about this reactivity pattern a little bit later.

This leads us to another commonly encountered type of process that deserves mention. As you will see in this and many later chapters, making a new bond to an electron sink often requires the simultaneous breaking of one of the bonds present at the sink atom to avoid overfilling its valence orbitals, a situation referred to as hypervalence.

Fourth rule: Breaking a bond will occur to avoid overfilling valence (hypervalence) on an atom serving as an electron sink.

In these cases, the electron source for the arrow is the bond being broken, and the sink is an atom able to accommodate the electrons as a lone pair, generally an electronegative atom such as an O atom or a halogen. If an ion is created, that ion is often stabilized by resonance delocalization or other stabilizing interactions.

Returning to the proton transfer reaction between acetic acid and hydroxide, we can now summarize our analysis of this simple one-step mechanism.



Viewed in the context of the third rule, when considering the arrow used to make a new σ bond (arrow a), the hydroxide O atom is the electron source (most negatively charged atom) and the acetic acid H atom is the electron sink (atom with highest partial positive charge). This is illustrated using the electrostatic molecular surfaces shown below the reaction equation. The O atom of hydroxide ion has the greatest localized negative charge as indicated by the most intense red color and the acetic acid proton being transferred has the most intense positive charge character indicated by the most intense blue color. In order to avoid overfilling the valence of the H atom during the

reaction (fourth rule), the O-H bond of acetic acid must be broken (arrow “b”). In so doing, the acetate ion is formed. Note that the acetate ion is stabilized by resonance delocalization.

Based on our analysis of the reaction between acetic acid and the hydroxide anion, you should now appreciate that the transfer of a proton (a so-called Brønsted acid-base reaction) is really just a special case of the common pattern of reactivity between an electron source (the base) and the proton as an electron sink, combined with breaking a bond to satisfy valence and create a relatively stable ion.

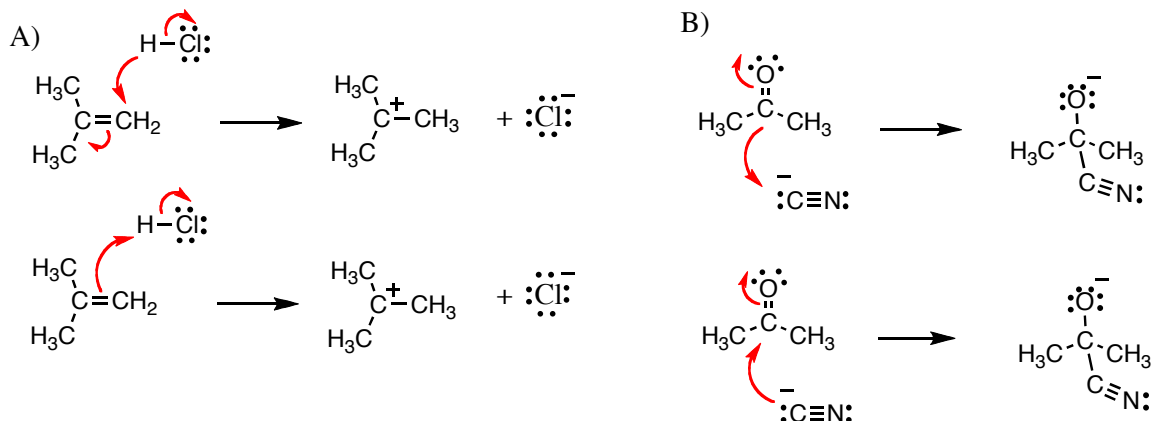
The addition or removal of protons during chemical reactions is so common that proton transfer steps are referred to by name directly, and we will use phrases such as “add a proton” or “take a proton away” when referring to them. However, proton transfer reactions are not the only case in which we use special names to describe a particular type of common reaction that involves arrows between electron sources and electron sinks.

As briefly mentioned in Section 4.7, a broader terminology is applied to the very common case of reactions in which new σ bonds form between electron rich and electron poor regions of molecules. **Nucleophiles** (meaning nucleus seeking) are molecules that have relatively electron rich π bonds or lone pairs that act as electron sources for arrows making new bonds. **Electrophiles** (meaning electron seeking) are molecules with relatively electron poor atoms that serve as sinks for these arrows. Analogously, a molecule, or region of a molecule, that is a source for such an arrow is called nucleophilic, while a molecule or region of a molecule that is a sink for these arrows is referred to as being electrophilic. Based on this description, it should be clear that nucleophiles are analogous to Lewis bases and electrophiles are analogous to Lewis acids. Chemists use these terms interchangeably, although nucleophile and electrophile are more commonly used in kinetics discussions while Lewis acid and Lewis base are more commonly used in discussions about reaction thermodynamics. We will use all of these terms throughout the rest of the book.

It is helpful to summarize the appropriate use of key terms associated with arrow pushing and reaction mechanisms. The terms “source” and “sink” are used to identify the start and end of each reaction mechanism arrow, which is indicating the change in location of electron pairs. The terms “nucleophile” and “electrophile” (as well as “Lewis base” and “Lewis acid”) are used to describe molecules based on their chemical reactivity and propensity to either donate or receive electrons when they interact. Protons can be thought of as a specific type of electrophile, and for reactions in which a proton is transferred, the nucleophile is called a base.

Example

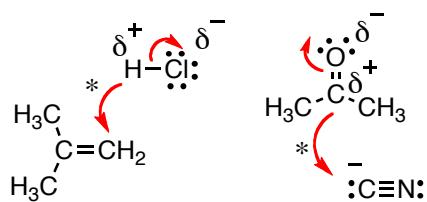
The following two sets of reactions (A and B) show possibilities for arrow pushing in individual reaction steps. Identify which is wrong and explain why. Next, using arrow pushing correctly, label which molecule is the nucleophile and which is the electrophile.



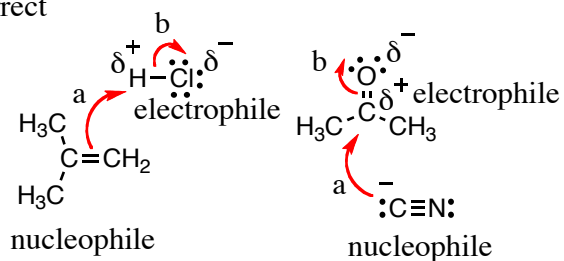
Solution

In each case the first arrow pushing scenario is wrong. The arrows shown below with stars over them do not start at a source of electrons, but rather they start at positions of relative positive charge, which is incorrect.

Incorrect



Correct



In the correct arrow pushing, the arrow labeled “a” depicts the interaction of a region of relative high negative charge (a π -bond or lone pair) with an atom of relatively high partial positive charge on the other reactant. Therefore, the molecule acting as the source for the σ bond-forming arrow “a” is the nucleophile while the molecule containing the sink atom is the electrophile. The arrow labeled “b” is needed to satisfy valence, and is not considered when defining the nucleophile and electrophile.

C) Putting it All Together: It Comes Down to a Multiple Choice Situation

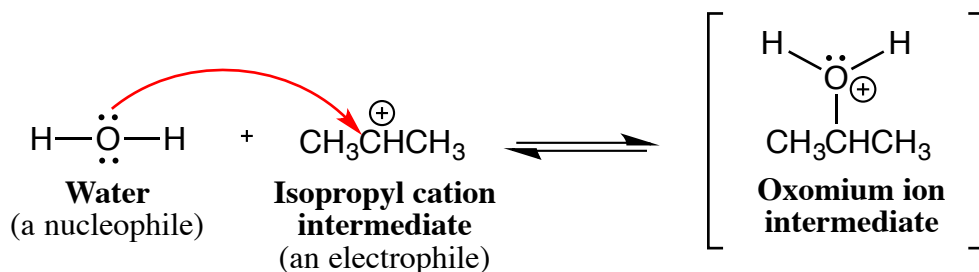
In the sections and chapters that follow, many different reaction mechanisms will be described in a stepwise fashion. Each arrow can be classified according to one of the three overall situations we have already encountered (redistribution of π bonds and/or lone pairs, formation of a new σ bond from a lone pair or π bond, breaking a σ bond to give a new lone pair or π bond).

When learning new mechanisms, first focus on the overall transformation that takes place. It might be a reaction in which atoms or groups are added (an addition reaction), a reaction in which atoms or groups are removed (an elimination reaction), a reaction in which atoms or groups replace an atom or group (a substitution reaction), or other processes we will encounter. Often, the overall process is composed of multiple steps. Once you have the overall process in mind, it is time to think about the individual steps that convert starting material(s) into product(s). Predicting complete multi-step mechanisms, then, comes down to learning how to predict the individual steps.

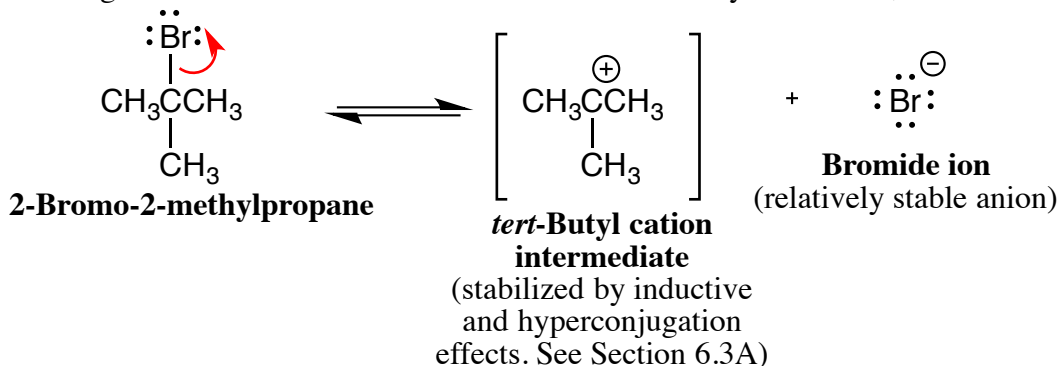
Understanding, as opposed to memorizing, mechanisms is critical to mastering organic chemistry. Although the mechanisms you encounter throughout the course may seem entirely different, they are actually related in fundamental ways. In fact, almost all of the organic reaction mechanisms you will learn are composed of only a few different individual elements (steps) that are put together in various combinations. Your job is to learn these individual mechanism elements, and then understand how to assemble them into the steps of the correct mechanism for the overall reaction.

Fortunately, there are a surprisingly small number of different types of characteristic mechanism elements (patterns of arrows) to be considered when trying to predict individual steps of even complex chemical reactions. For this reason, you should view the prediction of each step in an organic mechanism as essentially a *multiple choice* situation in which your most common choices are the following:

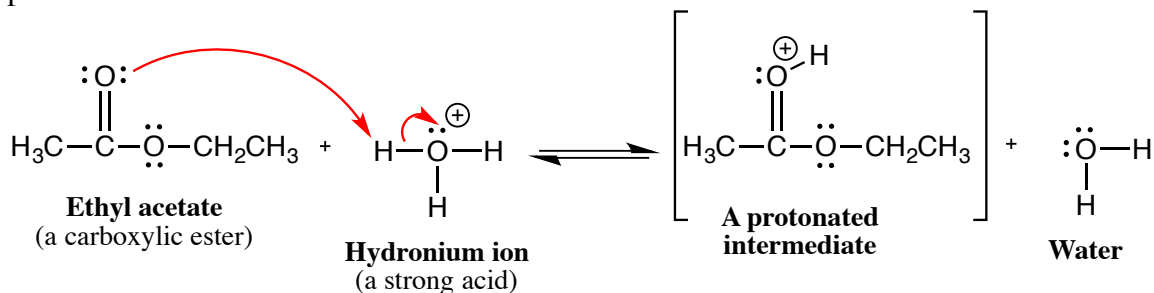
- 1. Make a new bond between a nucleophile (source for an arrow) and an electrophile (sink for an arrow).** Use this element when there is a nucleophile present in the solution as well as an electrophile suitable for reaction to occur.



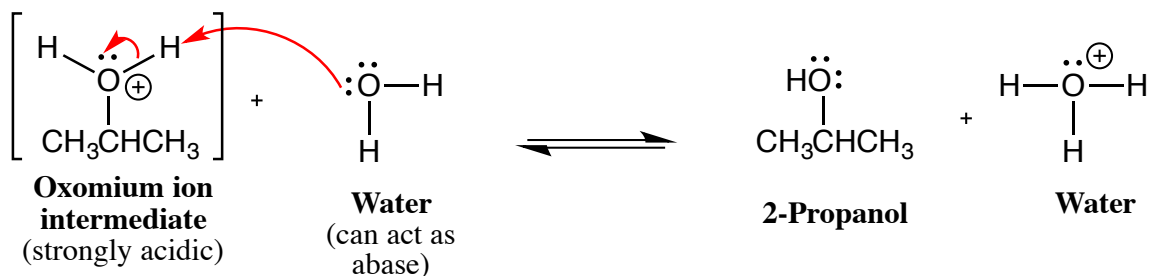
2. **Break a bond so that relatively stable molecules or ions are created** Use this element when there is no suitable nucleophile-electrophile or proton transfer reaction, but breaking a bond can create neutral molecules or relatively stable ions, or both.



3. **Add a proton** Use this element when there is no suitable nucleophile-electrophile reaction, but the molecule has a strongly basic functional group or there is a strong acid present.



4. **Take a proton away** Use this element when there is no suitable nucleophile-electrophile reaction, but the molecule has a strongly acidic proton or there is a strong base present.



The situation is even simpler than you might expect because 1. and 2. are the functional reverse of each other, as are 3. and 4. in many cases.

Many times, more than one of the four choices occurs simultaneously in the same mechanism step and there are some special situations in which unique or different processes such as electrophilic addition or 1,2 shifts occur. These different processes are described in detail as they are encountered.

In this course, you will learn important properties of the different functional groups that allow you to deduce the appropriate choices for the individual steps in reaction mechanisms. To help you accomplish this, as new mechanisms are introduced throughout the rest of the book, we will label each mechanistic step as one of the four mentioned here when appropriate, emphasizing the common features between even complex mechanisms. When you are able to predict which of the above choices is(are) the most appropriate for a given step in a mechanism, you will then be able to push electrons correctly without relying on memorization. At that point, you will have taken a major step toward mastering organic chemistry.